

# CTF to Junior ISA

Please complete in **BLOCK CAPITALS** and answer all questions

Please complete both sides of this form if you would like to transfer your existing CTF to a Shepherds Friendly Junior ISA. The application form must be completed by the existing Registered Contact of the child's CTF. Please note that this form does not give authority to make any change to the Registered Contact of the CTF.

## **A** Registered Contact's details

1 Mr/Mrs/Ms/Miss/Other

2 Male  Female

4 Forename(s)

5 Surname

5 Address

Postcode

6 Telephone No. (Home)

Telephone No. (Business)

Telephone No. (Mobile)

Email

7 Date of birth

/  /

## B Child's Details

I apply to transfer my CTF to a Shepherds Friendly Junior ISA for:

1 Forename(s)

2 Surname

3 Male  Female

4 Date of birth  /  /

5 Address

Postcode

6 Child Identification

Please enter your child's Birth Certificate number, Passport number or NHS Medical card number, if you do not have it to hand, please ensure that we receive a copy of this within 14 days of submitting your application.

## C CTF Transfer

I wish to transfer from:

Current CTF provider

Child's Unique Reference Number

Address of current provider

To:

Please tick box

The Shepherds Friendly With-Profits Stocks and Shares Junior Individual Savings Account

I apply to subscribe to a Shepherds Friendly Stocks and Shares Junior ISA for my child, for the current tax year 20 / 20 and each subsequent tax year until further notice, in accordance with the Terms and Conditions.

Please complete below if you wish to invest more into the Junior ISA:

Regular Monthly Premium £ (Min £10 monthly)

Lump Sum £ (Min £100)

Maximum total contributions must not exceed the Junior ISA limits.

If you are investing by lump-sum, payments can be made by credit or debit card by contacting our Member Services team on 0800 526 249. If you are contributing by a regular monthly premium, please fill in the Direct Debit form attached to this application form.

## **D** Important things to consider when transferring your CTF to a Junior ISA

- Lifestyling, which takes place when the child reaches 15 years old, no longer takes place
- Minimum premiums of £10 are allowed
- The annual charge cap of 1.5% does not apply to the Shepherds Friendly With-Profits Junior ISA

**If you are applying to transfer a stakeholder CTF, tick this box to confirm that you understand this and wish to proceed**

Please tick box

As well as being subject to the Terms and Conditions of the Junior ISA receiving the transfer proceeds, the transfer will be undertaken subject to the Terms and Conditions applying to your CTF.

## **E** Plan features

Before you transfer your CTF to our Junior ISA we need to ask you some questions. These questions are specific to this plan and they help us check that this Junior ISA will meet your needs.

**Please read each question carefully and answer yes or no:**

a) Do you want to save at least £10 per month as a regular premium or a minimum initial lump sum of £100, and benefit from a tax-efficient savings method?

 Y  N

b) Are you willing to save over the medium to long-term for your child?

 Y  N

c) Are you willing to take a little more risk to try to get a higher investment return than a typical cash savings ISA or a bank/building society savings account?

 Y  N

d) In the event of death, are you happy that you will receive 101% of the investment plus any growth?

 Y  N

## F Your product experience

This section of your application requires us to ask you questions on your experience of using financial products. Our regulator, the Financial Conduct Authority (FCA), needs us to ask these questions so we can assess how appropriate this plan might be for you.

**Please can you tell us if you've had any of the following products within the last two years:**

Bank, building society or NS&I savings account	<input type="checkbox"/>	<input type="checkbox"/>
Cash ISA or Junior ISA	<input type="checkbox"/>	<input type="checkbox"/>
Stocks and Shares ISA or Junior ISA	<input type="checkbox"/>	<input type="checkbox"/>
Lifetime ISA / Help to Buy ISA	<input type="checkbox"/>	<input type="checkbox"/>
Pension (workplace or private)	<input type="checkbox"/>	<input type="checkbox"/>
Investment funds or company shares	<input type="checkbox"/>	<input type="checkbox"/>

### Confirmations

I believe I have sufficient knowledge and experience to understand the plan I am applying for

## G Declarations and consents

For your own benefit and protection we recommend that you read these terms carefully before agreeing to them. If you have any questions or would like to discuss them in further detail, please get in touch.

### I declare that:

- I am the registered contact for the CTF;
- All subscriptions made, and to be made, belong to the child;
- I am 16 years of age or over;
- I am the child/I have parental responsibility for the child;
- I will be the registered contact for the Junior ISA;
- The child is resident in the United Kingdom, or is a UK crown servant, a dependant of a UK crown servant, or is married to/in a civil partnership with a UK crown servant;
- I have not subscribed and will not subscribe to another Junior ISA of this type for this child;
- I am not aware that this child has another Junior ISA of this type;
- I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit;

- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded;
- To the best of my knowledge and belief, the answers given above are true and complete and that no important fact has been omitted or falsely stated;
- I have received the Key Information Document and agree that this application shall form the basis of the contract with The Shepherds Friendly Society Limited, which shall be made subject to the plan's Terms and Conditions;
- I have read and understood the Key Information Document (It is important that you understand the Key Information Document before signing this application, please contact the Society, if there are any parts of the Key Information Document you do not understand);

**I authorise The Shepherds Friendly Society Limited:**

- the transfer of my existing CTF to the Junior ISA specified above;
  - to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash;
- and**
- to make on the child's behalf any claims to relief from tax in respect of Junior ISA investments.

## **H** Your personal information

### **Your data**

Shepherds Friendly Society will hold your personal data in line with our Privacy Notice. The full version is available on our website - [www.shepherdsfriendly.co.uk/privacy-notice](http://www.shepherdsfriendly.co.uk/privacy-notice). It explains your rights as data subject and how we use your data. You can also request a written copy by writing to Shepherds Friendly, Haw Bank House, High Street, Cheadle, Cheshire SK8 1AL, by calling our Member Services team on 0800 526 249 or by email to [info@shepherdsfriendly.co.uk](mailto:info@shepherdsfriendly.co.uk).

### **A child's personal information and our promise**

Shepherds Friendly is fully committed to ensuring that children's personal data never falls into the wrong hands. All child data captured at the point of application is necessary, as it allows us to process the application, which you are completing on behalf of the child. We will never knowingly send marketing messages or make a deliberate attempt to contact anyone under the age of 16 years old.

**I agree to personal information relating to the child being collected, stored and processed for application purposes only**

Please tick box

### Your communication preferences

Your privacy is of the highest importance to us, and unless you give us permission to do so, we will never release your personal information to any external company for their mailing or marketing purposes. As a valued member of Shepherds Friendly, we would love to keep you-up-to date about our products and services, as well as any offers we have. You can choose if you'd like to receive this information by ticking the options below:

Yes please, I'd like to hear about products, services and offers

No thanks, I don't want hear about products, services and offers

If you wish to opt-out of receiving these marketing communications at any time, then please get in touch via email or phone. We will also give you the opportunity to opt-out each time we send you a marketing message in the future.

Even if you choose not to receive marketing communications, we will still send you important information via email, SMS and post from time to time. This is because we are required to do so by our regulators, the Financial Conduct Authority and the Prudential Regulation Authority. We will also give you the chance to leave your feedback by sending you surveys via email throughout your time with us, so that we can continue to develop the products and services that we offer.

**I agree to these terms and I can confirm that I have not received financial advice from Shepherds Friendly in relation to the purchase of this plan.**

Please tick box

**I agree to the Junior ISA Terms and Conditions.**

Signature

Date

Full Name

(BLOCK CAPITALS PLEASE)

Note: Acceptance of this application is at the discretion of Shepherds Friendly.



**The Shepherds Friendly Society Limited Registered Office:**

Haw Bank House, High Street, Cheadle, Cheshire SK8 1AL

**Telephone:** 0800 526 249

**Email:** [info@shepherdsfriendly.co.uk](mailto:info@shepherdsfriendly.co.uk)

**Website:** [www.shepherdsfriendly.co.uk](http://www.shepherdsfriendly.co.uk)

Shepherds Friendly is a trading name of the Shepherds Friendly Society Limited which is an incorporated Friendly Society under the Friendly Societies Act. Registered No 240F. Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, Financial Services Register No 109997. The Head office and Registered office of The Shepherds Friendly Society is based in the United Kingdom.

